

Interim biomarker results for trontinemab, a novel Brainshuttle™ antibody in development for the treatment of Alzheimer's disease



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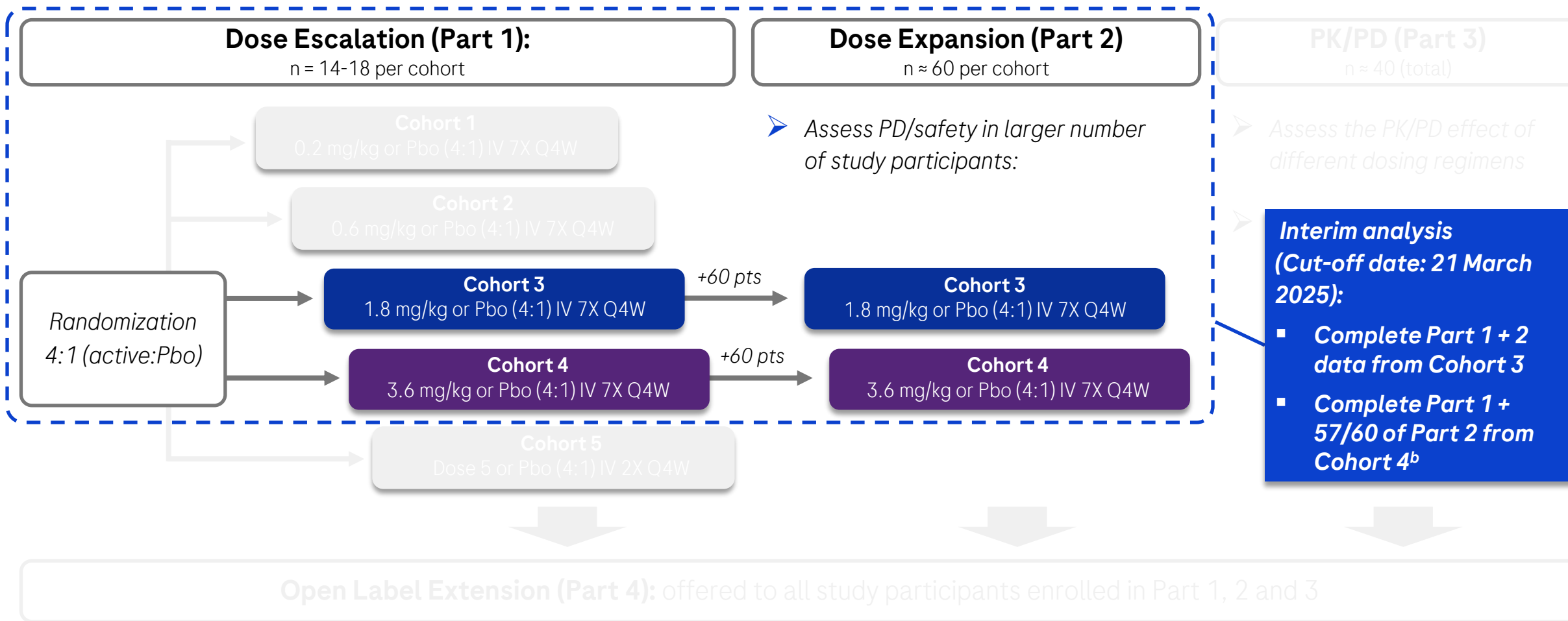
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Disclosures

- **Gregory Klein** is a full-time employee and owns stocks in F. Hoffmann-La Roche Ltd
- Matteo Tonietto, Daria Rukina, Fabien Alcaraz, Carsten Hofmann, Maddalena Marchesi, Jakub Wojtowicz, João A. Abrantes, Franziska Schaedeli Stark, Paul Delmar, Hanno Svoboda, Iris Wiesel and Luka Kulic are full-time employees of and own stocks in F. Hoffmann-La Roche Ltd
- Gil Rabinovici has provided consultation to Eli Lilly, Johnson & Johnson, Merck, Novo Nordisk and Roche. He receives research support as PI/MPI from NIH/NIA P30-AG062422, R35-AG072362, U01-AG057195, U01-AG082350, R56-AG075744; NIH/NINDS R01-NS139383; Alzheimer's Association, American College of Radiology, Genentech, and Rainwater Charitable Foundation. He is an associate editor for JAMA and JAMA Neurology
- Henrik Zetterberg has served at scientific advisory boards and/or as a consultant for AbbVie, Acumen, Alector, Alzinova, ALZpath, Amylyx, Annexon, Apellis, Artery Therapeutics, AZTherapies, Cognito Therapeutics, CogRx, Denali, Eisai, Enigma, LabCorp, Merck Sharp & Dohme, Merry Life, Nervgen, Novo Nordisk, Optoceutics, Passage Bio, Pinteon Therapeutics, Prothena, Quanterix, Red Abbey Labs, reMYND, Roche, Samumed, ScandiBio Therapeutics AB, Siemens Healthineers, Triplet Therapeutics, and Wave; has given lectures sponsored by Alzecure, BioArctic, Biogen, Cellectricon, Fujirebio, LabCorp, Lilly, Novo Nordisk, Oy Medix Biochemica AB, Roche, and WebMD; is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program; and is a shareholder of MicThera (outside submitted work)
- Tobias Bittner is a full-time employee of F. Hoffmann-La Roche Ltd and Genentech, Inc., a member of the Roche Group, and owns stocks in F. Hoffmann-La Roche Ltd
- Ruth Croney and David Agnew are employees of Roche Products Ltd, and own stock or stock options in F. Hoffmann-La Roche Ltd
- Silke Ahlers is an external business partner of F. Hoffmann-La Roche Ltd

Brainshuttle™ AD study design

Phase Ib/Ila study assessing the safety, tolerability, PK and PD of trontinemab in participants with AD^a



Trontinemab is an investigational product not approved for use in any market.

^aStudy population: participants diagnosed with MCI due to AD or mild-to-moderate AD, consistent with the NIA-AA diagnostic criteria^{1,2}; amyloid PET: > 50 CL; MMSE: 18-28; CDR-GS: 0.5-2. ^bThree of 60 participants in Cohort 4 of Part 2 did not have the opportunity to complete the double-blind treatment period as of the 21 March 2025 cut-off date. AD, Alzheimer's disease; CDR-GS, Clinical Dementia Rating-Global Score; CL, Centiloid; IV, intravenous; Pbo, placebo; PD, pharmacodynamics; PK, pharmacokinetics; pts, participants; PET, positron emission tomography; MCI, mild cognitive impairment; MMSE, Mini-Mental State Examination; NIA-AA, National Institute on Aging-Alzheimer's Association; Q4W, every four weeks.

1. Albert MS, et al. *Alzheimers Dement* 2011;7:270-9; 2. McKhann GM, et al. *Alzheimers Dement* 2011;7:263-9.

Biomarkers in Part 1 (dose escalation) + Part 2 (dose expansion)

Schedule of assessments

Visit (# doses)	Screening/BL (0)	D22 (Dose 1)	D50 (Dose 2)	D78 (Dose 3)	D106 (Dose 4)	D134 (Dose 6)	D162 (Dose 6)	D172/176 ^a (Dose 7)	D183 (Dose 7)	D196 (Dose 7)
Part 1 (dose escalation)	Aβ PET			Aβ PET						Aβ PET
	vMRI	vMRI		vMRI			vMRI			
	CSF							CSF		
	Plasma			Plasma					Plasma	

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Schedule of assessments are shown for Cohorts 1-4. ^aPart 2 CSF at D174 (Day 6 post Dose 7).
Aβ, amyloid beta; BL, baseline; CSF, cerebrospinal fluid; D, day; PET, positron emission tomography; vMRI, volumetric magnetic resonance imaging.

Biomarkers in Part 1 (dose escalation) + Part 2 (dose expansion)

Schedule of assessments

Visit (# doses)	Screening/BL (0)	D22 (Dose 1)	D50 (Dose 2)	D78 (Dose 3)	D106 (Dose 4)	D134 (Dose 6)	D162 (Dose 6)	D172/176 ^a (Dose 7)	D183 (Dose 7)	D196 (Dose 7)
Part 1 (dose escalation)	Aβ PET			Aβ PET						Aβ PET
	vMRI	vMRI		vMRI			vMRI			
	CSF							CSF		
	Plasma			Plasma					Plasma	
Part 2 (dose expansion)	Aβ PET		Aβ PET ^b	Aβ PET ^b	Aβ PET ^b					Aβ PET
	vMRI	vMRI		vMRI			vMRI			
	CSF							CSF ^a		
	Plasma	Plasma		Plasma		Plasma			Plasma	

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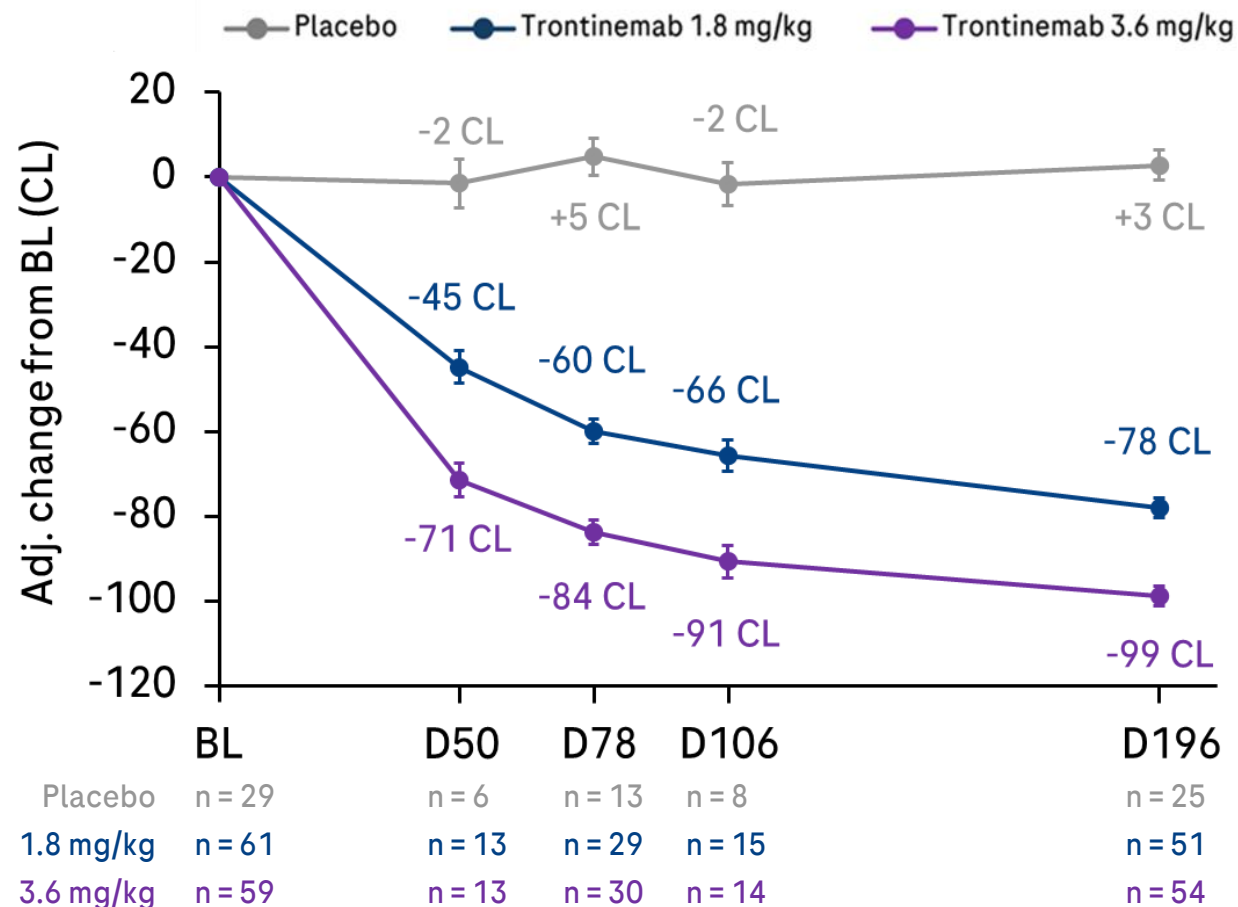
Schedule of assessments are shown for cohorts 1-4. ^aPart 2 CSF at D174 (Day 6 post dose 7). ^bPart 2 Aβ PET randomized to D50, D78 or D106. PET scan acquired Day 22 post dose for D22, D50, D78, D106, and Day 28 post Dose 7 for D196. MRI acquired Day 22 post dose for all visits. Plasma sample taken Day 22 post dose for all samples. Aβ, amyloid beta; BL, baseline; CSF, cerebrospinal fluid; D, day; PET, positron emission tomography; vMRI, volumetric magnetic resonance imaging.

Amyloid PET

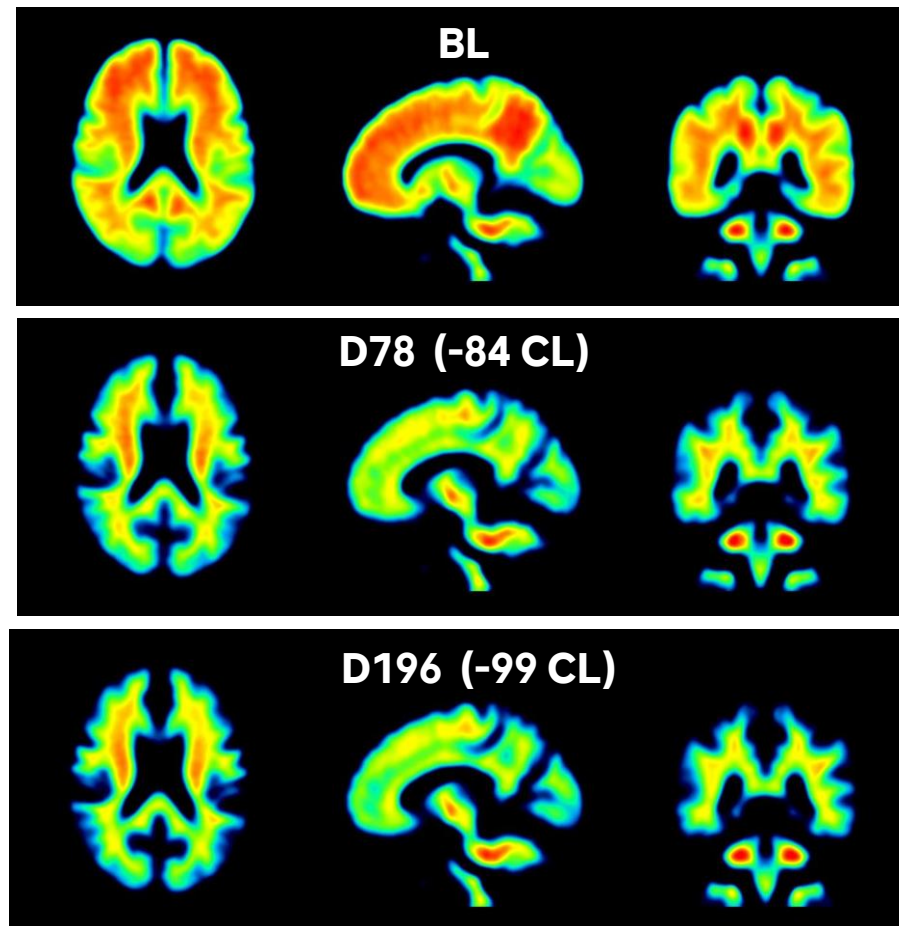


Adjusted mean change from BL after 28 weeks (Part 1 + 2 combined)^a

Rapid and robust amyloid PET reduction at trontinemab 1.8 mg/kg and 3.6 mg/kg



Average images of participants receiving 3.6 mg/kg^b

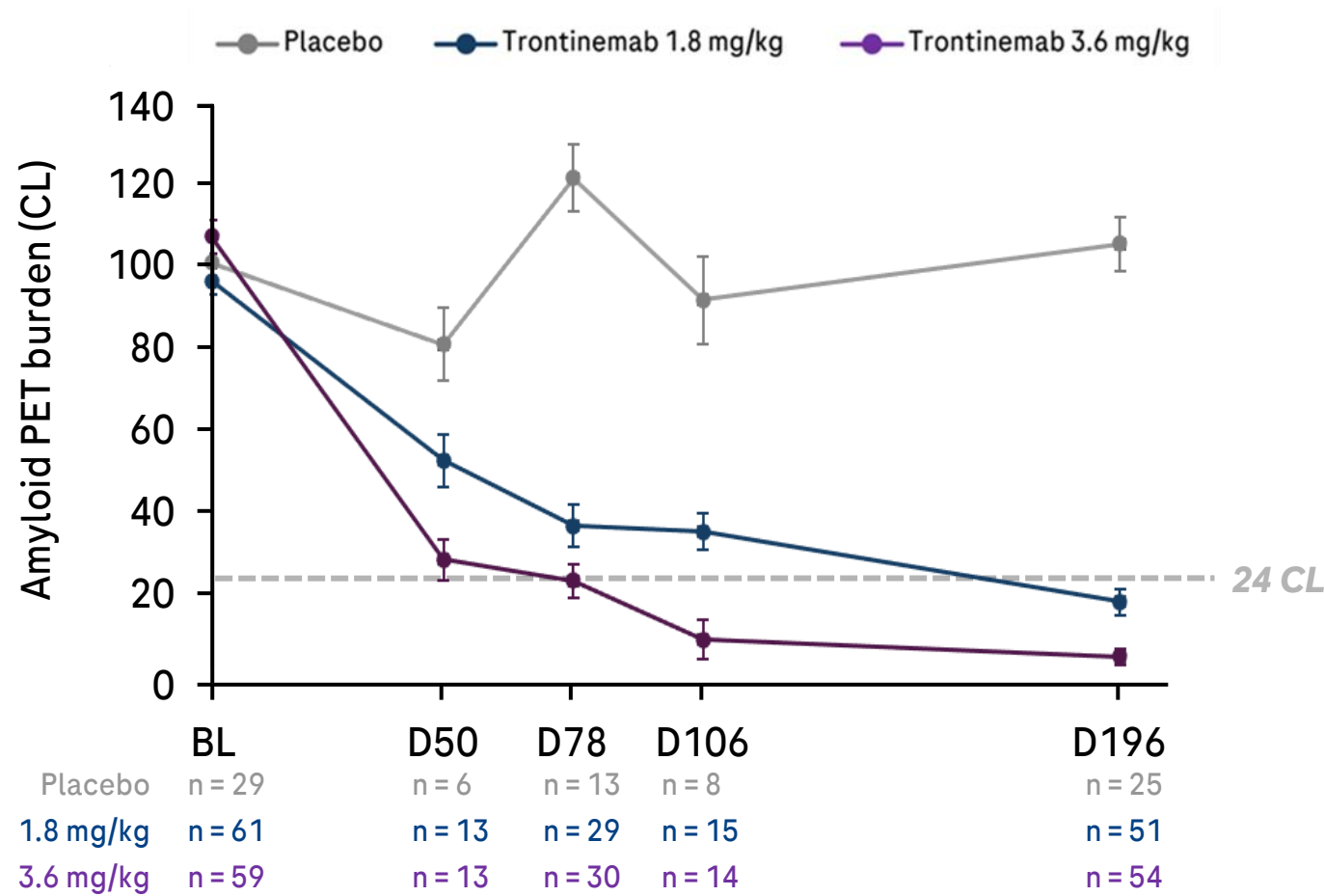


Trontinemab is an investigational product not approved for use in any market.

Cut-off date: 21 March 2025. ^aValues at each time point were based on an MMRM model, with change from baseline in amyloid PET burden (CL) as the dependent variable and with fixed effects of treatment group, categorical visit, treatment-by-visit interaction and baseline amyloid PET. 'n' represents the number of participants contributing to the model with available change from baseline at each visit. ^bGlobal CL transformation used to compute voxelwise average in MNI space. Adj, adjusted; BL, baseline; CL, Centiloid; D, day; MMRM, mixed models for repeated measures; MNI, Montreal Neurological Institute; PET, positron emission tomography.

Absolute amyloid PET burden after 28 weeks (Part 1 + 2 combined)

91% of participants were below the amyloid positivity threshold^a



	Mean amyloid value in CL at visit Participants ≤ 24 CL (%) ^a		
Visit	Pbo	1.8 mg/kg	3.6 mg/kg
BL	101 CL 0/29 (0%)	97 CL 0/61 (0%)	108 CL 0/59 (0%)
D50	81 CL 0/6 (0%)	53 CL 1/13 (8%)	29 CL 5/13 (39%)
D78	122 CL 0/13 (0%)	37 CL 12/29 (41%)	24 CL 19/30 (63%)
D106	92 CL 0/8 (0%)	36 CL 4/15 (27%)	9 CL 10/14 (71%)
D196	106 CL 0/25 (0%)	19 CL 33/51 (65%)	5 CL 49/54 (91%)

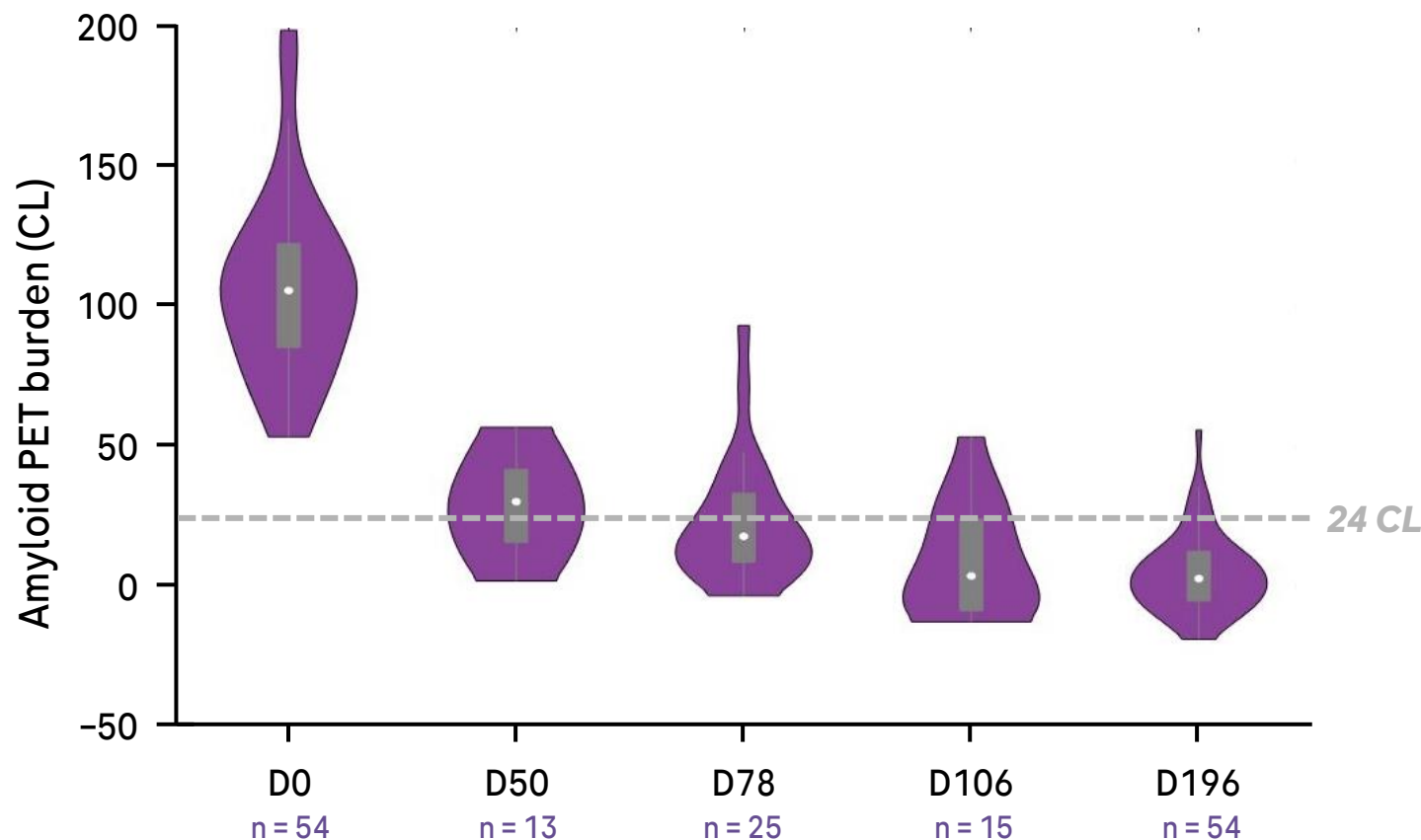
	Participants ≤ 11 CL (%)		
Visit	Pbo	1.8 mg/kg	3.6 mg/kg
D196	0/25 (0%)	24/51 (47%)	39/54 (72%)

Trontinemab is an investigational product not approved for use in any market.
Cut-off date: 21 March 2025. Mean values ±SE of available PET results at the different visit time points are plotted. ^aAmyloid positivity threshold defined as values ≤ 24 CL. Participants were randomized across intermediate timepoints (D50, D78, D106) leading to variability in placebo arm. Florbetapir or florbetaben PET tracers were used (Freesurfer SUVR method, whole cerebellum reference, harmonized with Centiloid). BL, baseline; CL, Centiloid; D, day; PET, positron emission tomography; SE, standard error.

Deep amyloid depletion after 28 weeks (Part 1 + 2 combined)

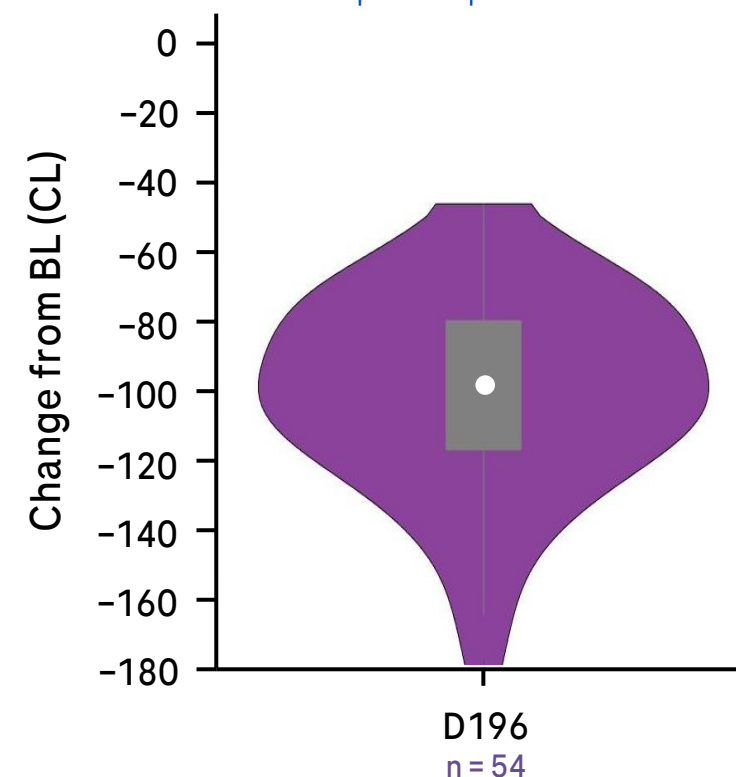
Nearly all participants near or below amyloid positivity threshold at trontinemab 3.6 mg/kg^a

Amyloid PET trontinemab 3.6 mg/kg



Minimum -47 CL change from BL for trontinemab 3.6 mg/kg at D196

Substantial amyloid reduction in all participants

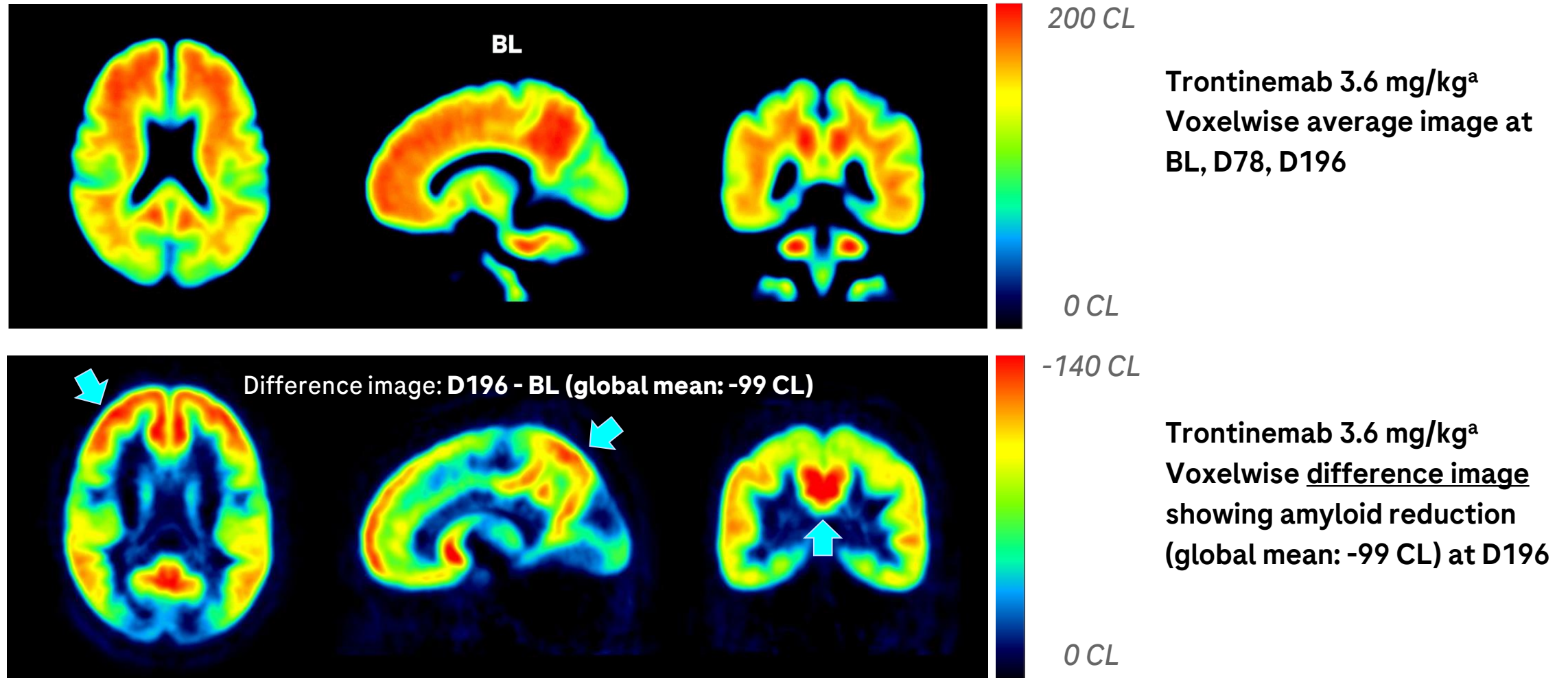


Trontinemab is an investigational product not approved for use in any market.

Cut-off date: 21 March 2025. Florbetapir or florbetaben PET tracers were used (Freesurfer SUVR method, whole cerebellum reference, harmonized with CL). ^aAmyloid positivity threshold defined as ≤ 24 CL. The analysis is restricted to N = 54 trontinemab Cohort 4 (3.6 mg/kg) double blind completers from Part 1 and Part 2 combined who received the D196 PET scan. Nominal visits used for analysis. BL, baseline; CL, Centiloid; D, day; PET, positron emission tomography; SUVR, standardized uptake value ratio.

Regional amyloid reduction of trontinemab 3.6 mg/kg (Part 1 + 2 combined)

Extensive amyloid removal throughout the brain; greatest removal in frontal, parietal and cingulate regions



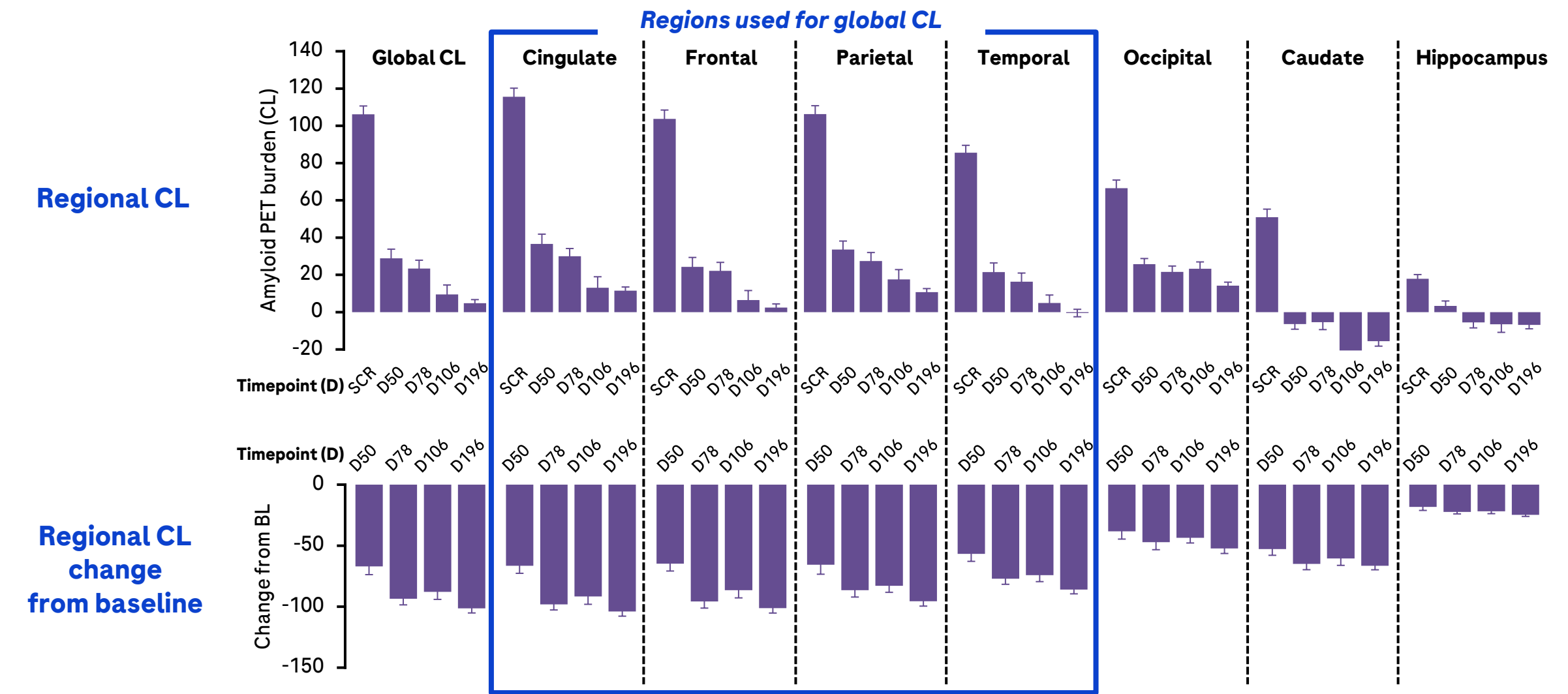
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Cut-off date: 21 March 2025. Florbetapir or florbetaben PET tracers were used (Freesurfer SUVR method, whole cerebellum reference, harmonized with CL). ^aGlobal CL transformation used to compute voxelwise average in MNI space from n = 54 Cohort 4 completers who received the D196 PET scan.

Difference image calculated by subtracting average BL image from D196 average image. Global mean CL reduction of -99 CL at D196 obtained from MMRM CL analysis. BL, baseline; CL, Centiloid; D, day; MMRM, mixed models for repeated measures; MNI, Montreal Neurological Institute; SUVR, standardized uptake value ratio.

Quantitative regional CL change (Part 1 + 2 combined)

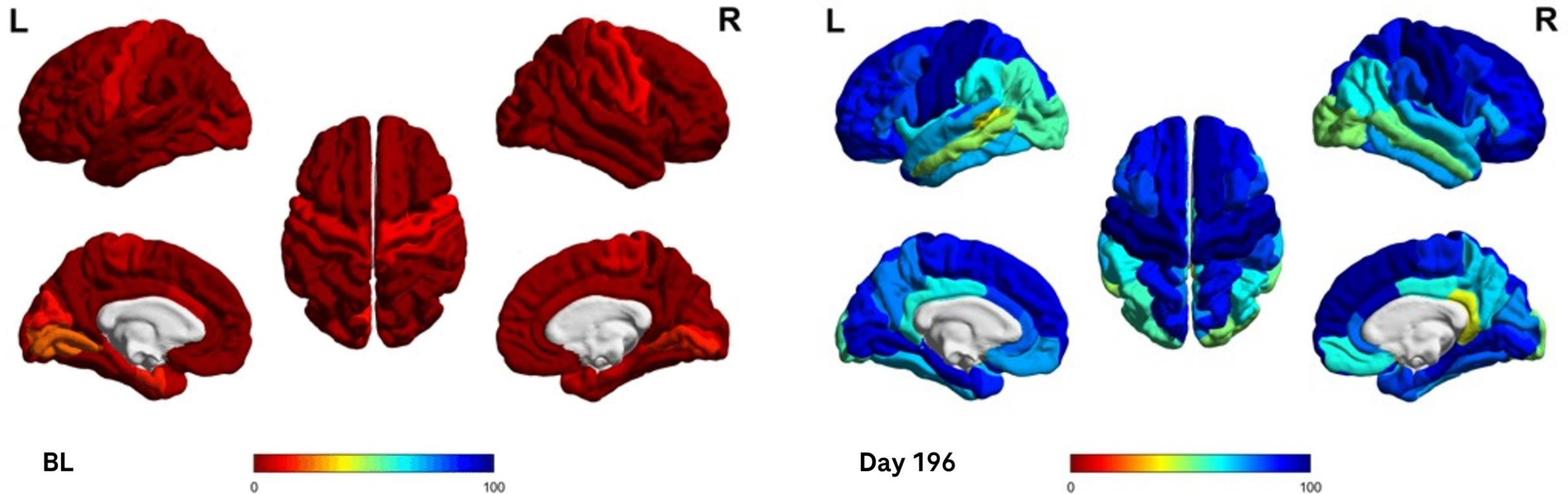
All neocortical regions show robust removal below 24 CL with trontinemab 3.6mg/kg^a



Trontinemab is an investigational product not approved for use in any market. ^aAmyloid positivity threshold is defined as ≤ 24 CL. Cut-off date: 21 March 2025. Completer analysis of n = 54 Cohort 4 participants who received a D196 PET scan. Regional centiloid equations calculated from individual ROIs following global centiloid method (Klunk, et al 2014). BL, baseline; CL, Centiloid; D, day; ROIs, regions of interest; SCR, screening.

Regional CL negativity (Part 1 + 2 combined)

Extensive amyloid depletion in frontal, cingulate and parietal lobes at trontinemab 3.6 mg/kg



**% Regional negativity at five timepoints
after trontinemab treatment^a**

**% Regional negativity
after trontinemab treatment at D196^a**

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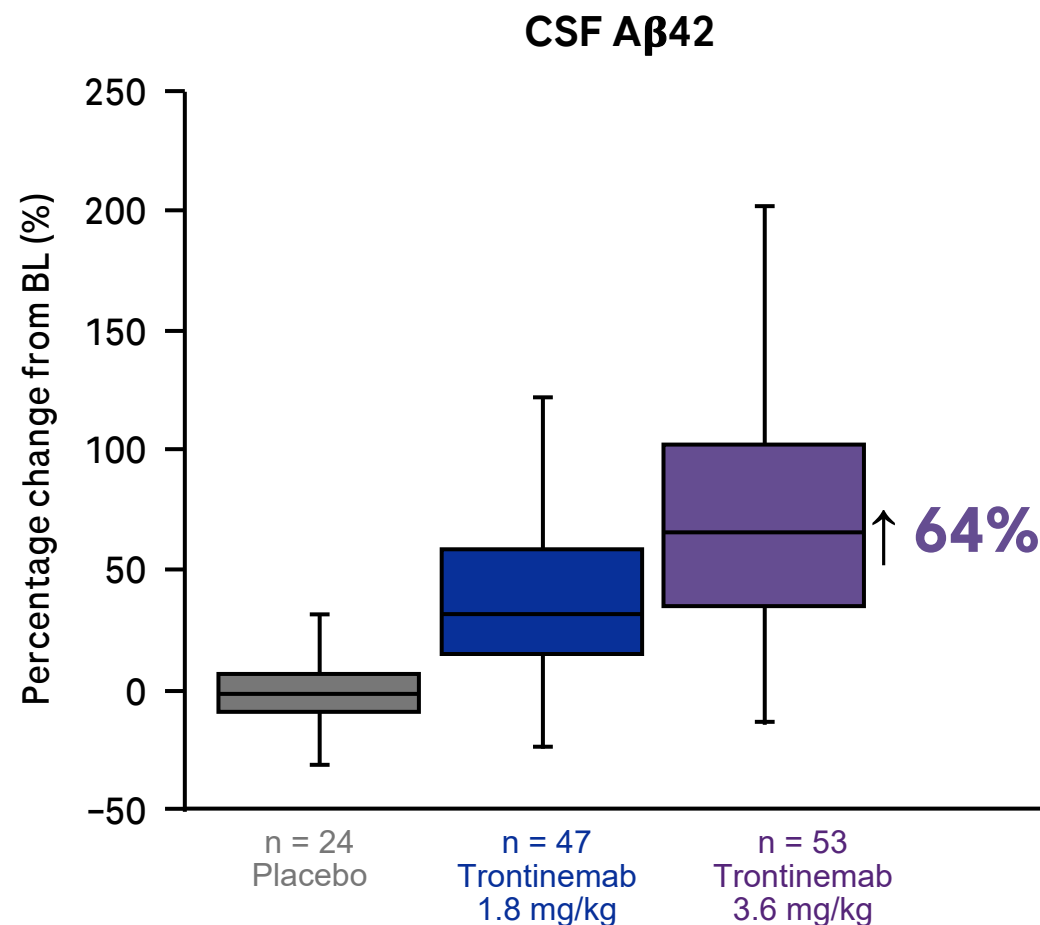
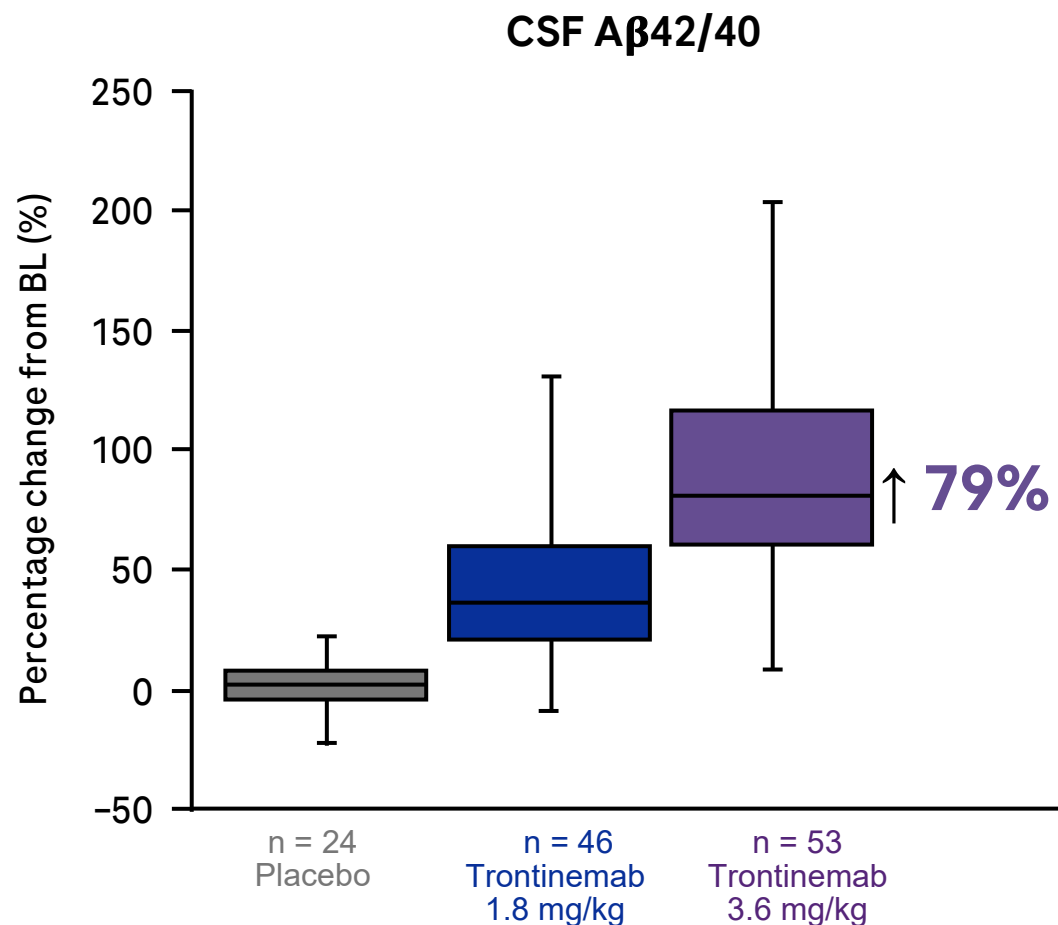
^aAnalysis were performed using regional SUVR where the negativity threshold was set for each tracer independently using the mean +2 SD of healthy controls participants available on the GAAIN website. Cohort 4 data is a mix of 10 florbetapir, 44 florbetaben longitudinal scans. D, day; GAAIN, Global Alzheimer's Association Interactive Network; SD, standard deviation; SUVR, standardized uptake value ratio.

Fluid biomarkers



CSF biomarker results at 25 weeks (Part 1 + 2 combined)

Pronounced effects of trontinemab on key amyloid biomarkers of disease in CSF



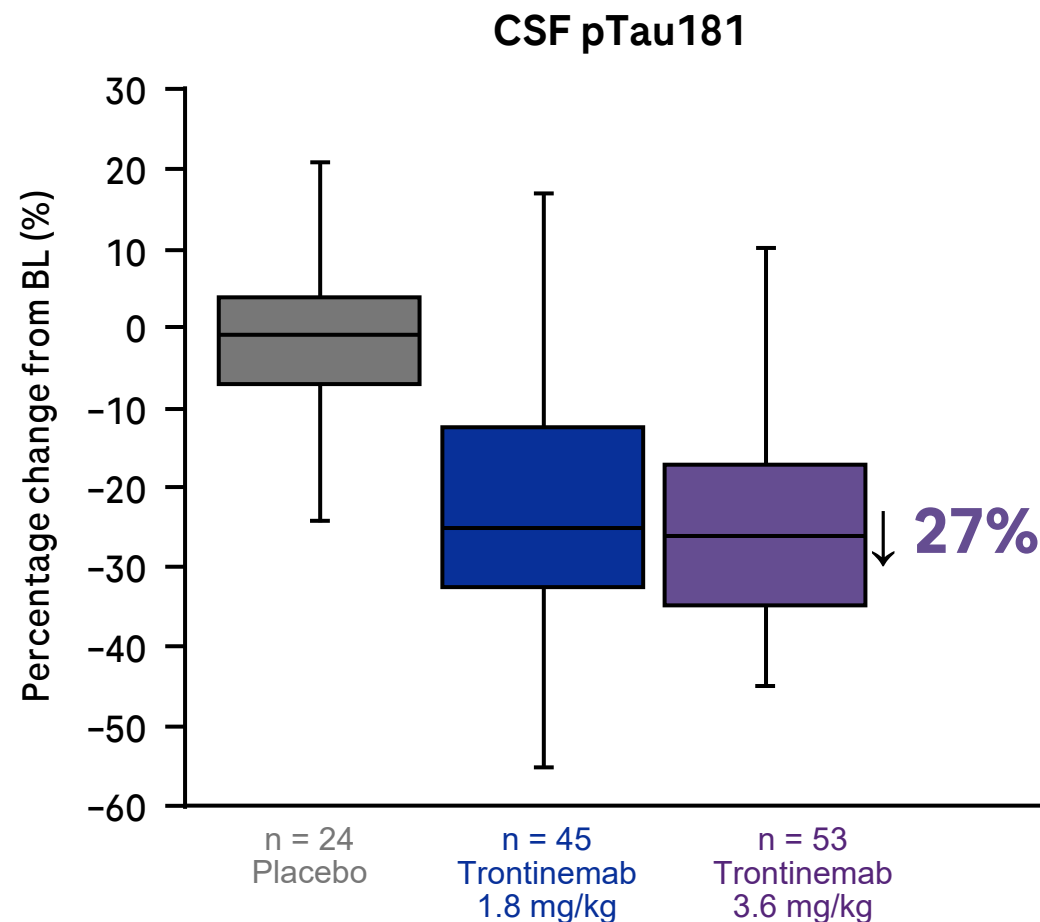
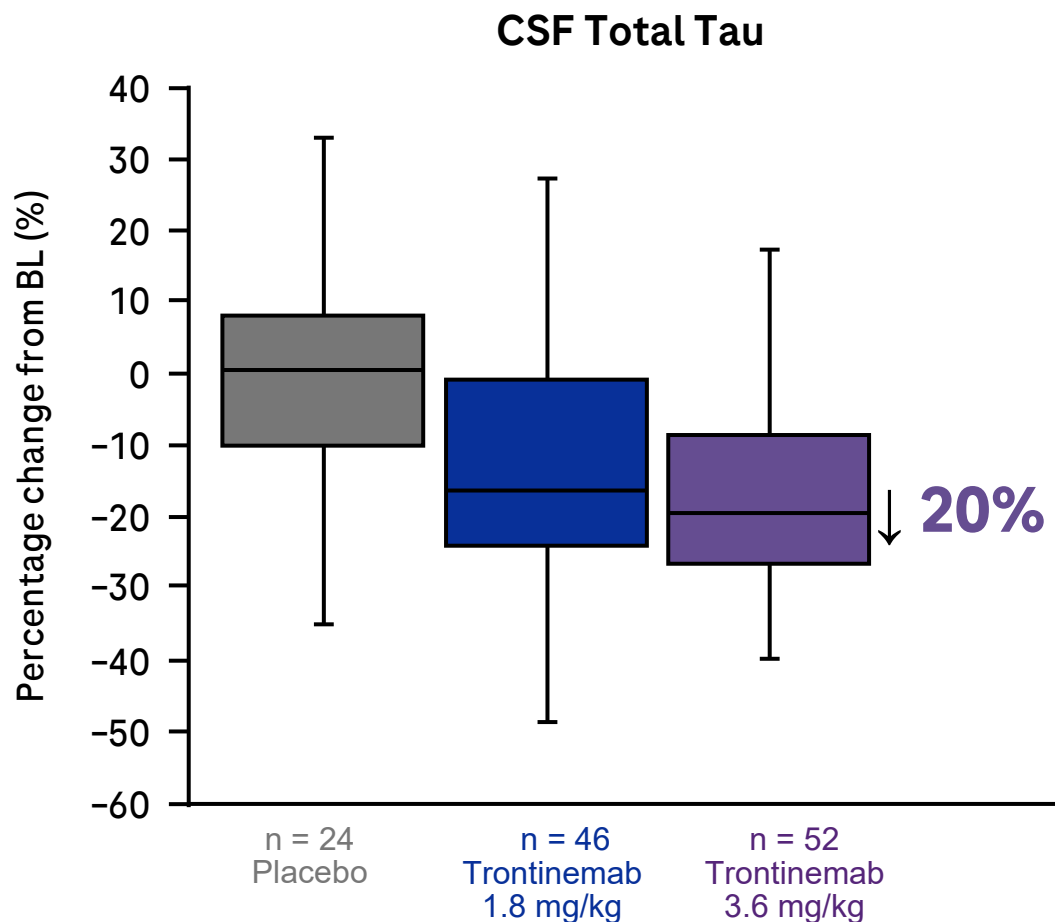
Findings are exploratory and no statistical analysis has been performed.

Trontinemab is an investigational product not approved for use in any market.

Cut-off date: 21 March 2025. Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. CSF samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Median baseline values for A β 42/40: placebo 0.03, 1.8 mg/kg 0.03, 3.6 mg/kg 0.03; A β 42 (pg/mL): placebo 495.65, 1.8 mg/kg 449.80, 3.6 mg/kg 434.00. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted. A β , amyloid beta; CSF, cerebrospinal fluid.

CSF biomarker results at 25 weeks (Part 1 + 2 combined)

Pronounced effects of trontinemab on key downstream tau biomarkers of disease in CSF



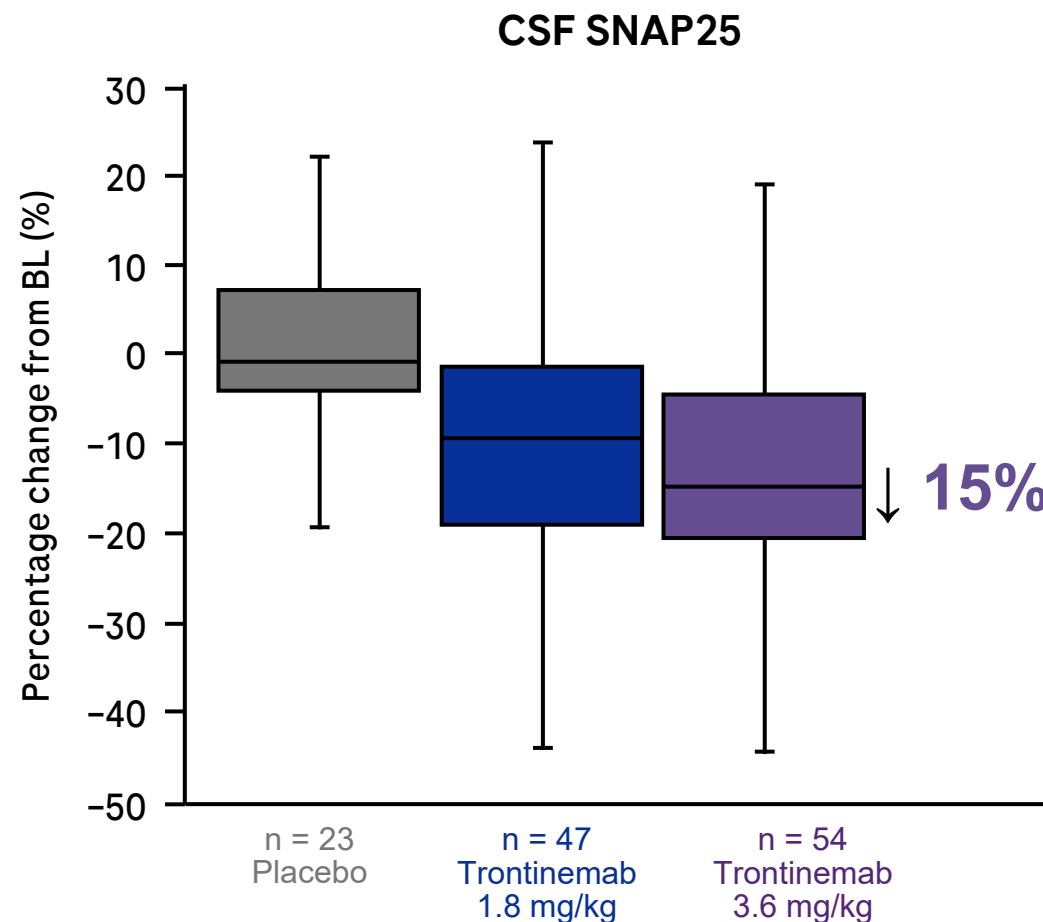
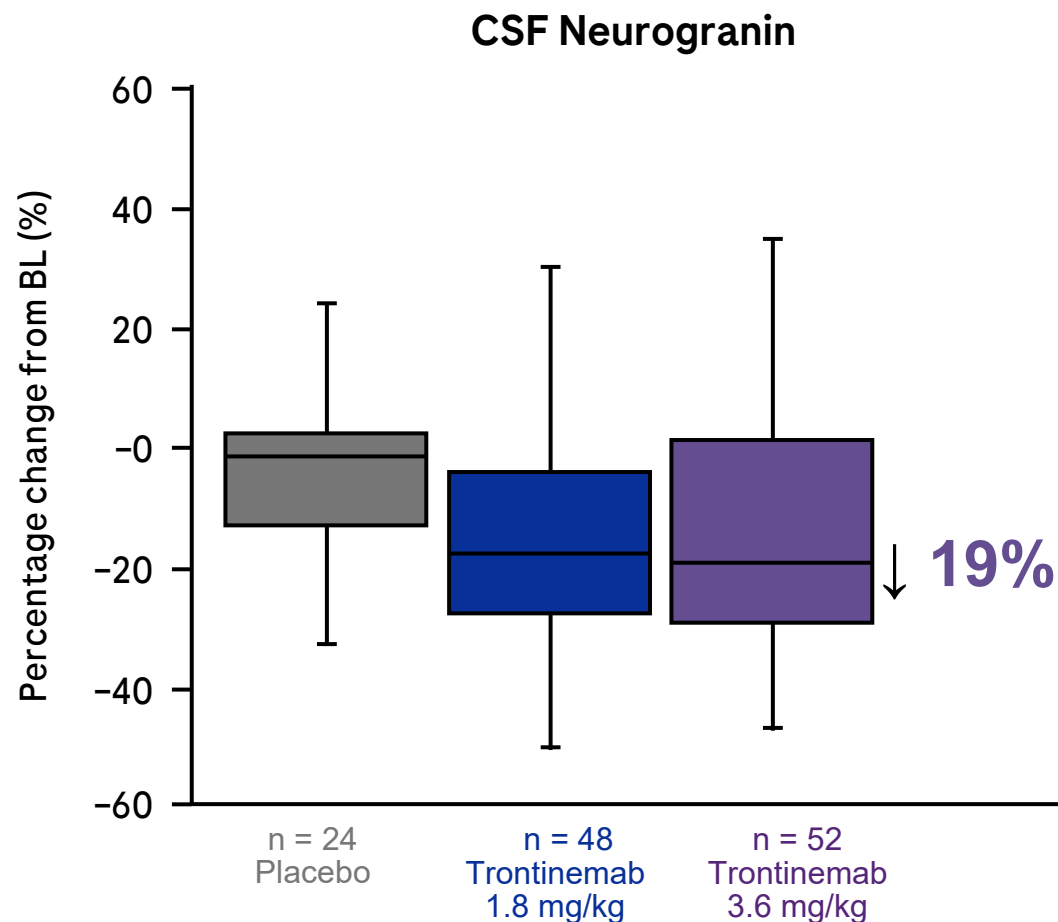
Findings are exploratory and no statistical analysis has been performed.

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Cut-off date: 21 March 2025. Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. CSF samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Median baseline values for Total Tau (pg/mL): placebo 298.50, 1.8 mg/kg 329.00, 3.6 mg/kg 296.50. ptau181 (pg/mL): placebo 31.65, 1.8 mg/kg 33.30, 3.6 mg/kg 29.75. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted. CSF, cerebrospinal fluid; pTau, phosphorylated tau.

CSF biomarker results at 25 weeks (Part 1 + 2 combined)

Effects of trontinemab on key downstream synaptic biomarkers of disease in CSF



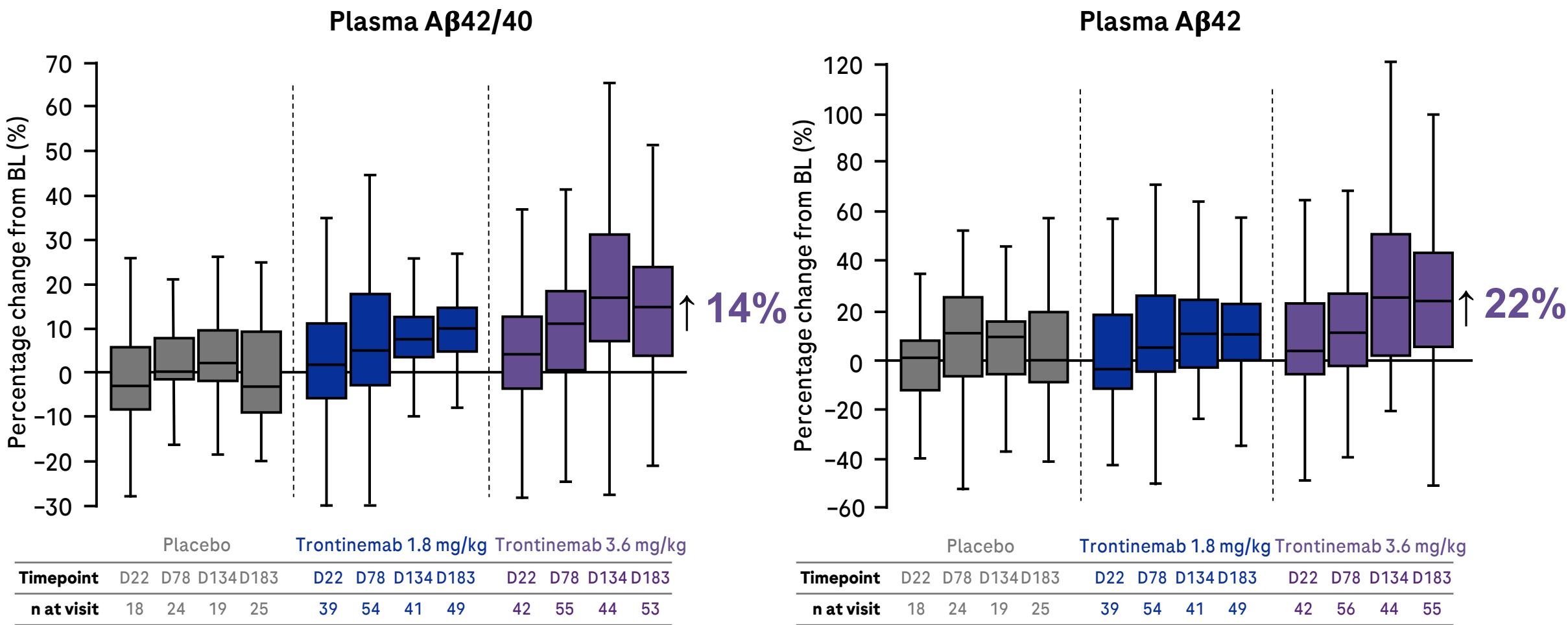
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Plasma biomarker results (Part 1 + 2 combined)

Dose and time-dependent increases in the Aβ42/40 ratio and Aβ42 in plasma

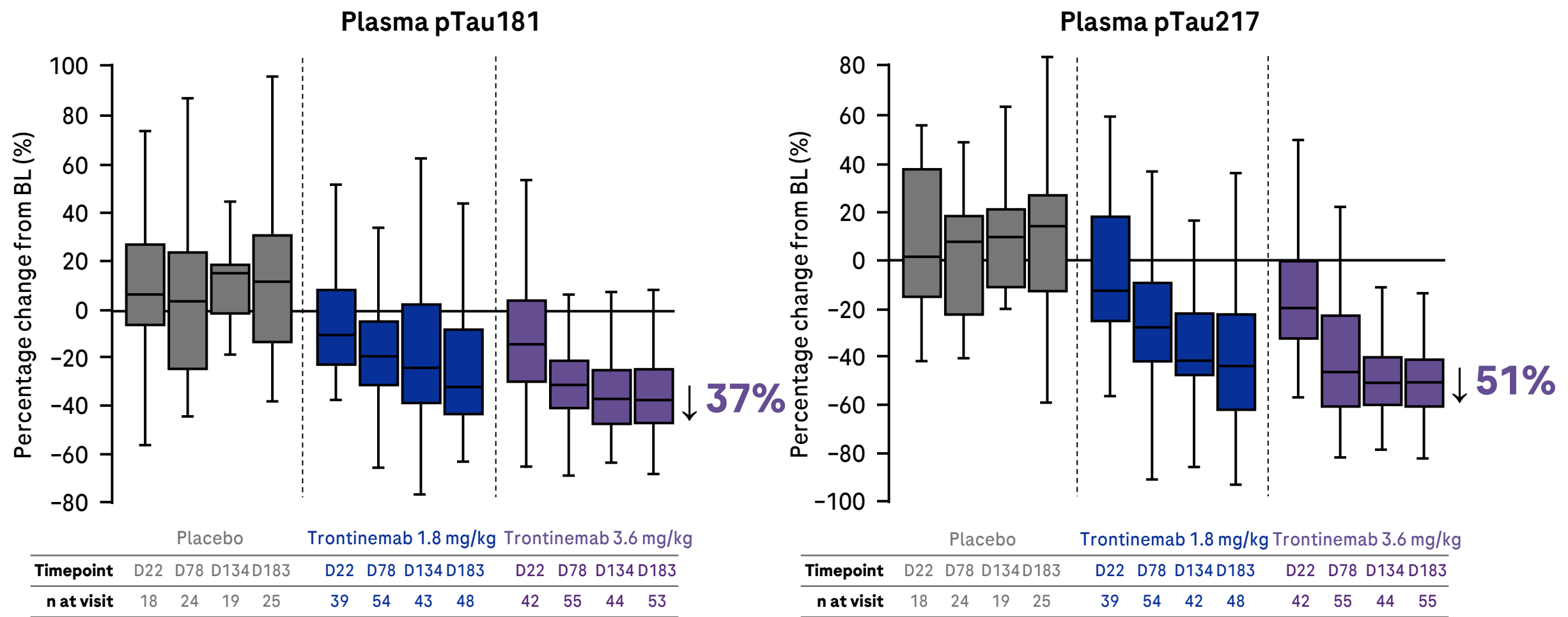


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Cut-off date: 21 March 2025. Plasma samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. Median baseline values for Aβ42/40: placebo 0.09, 1.8 mg/kg 0.09, 3.6 mg/kg 0.09; Aβ42 (pg/mL): placebo 23.10, 1.8 mg/kg 23.85, 3.6 mg/kg 23.00. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted.
Aβ, amyloid beta; BL, baseline.

Plasma biomarker results (Part 1 + 2 combined)

Early and large magnitude of effect in plasma pTau181 and pTau217 at 3.6 mg/kg after 6 months



Findings are exploratory and no statistical analysis has been performed.

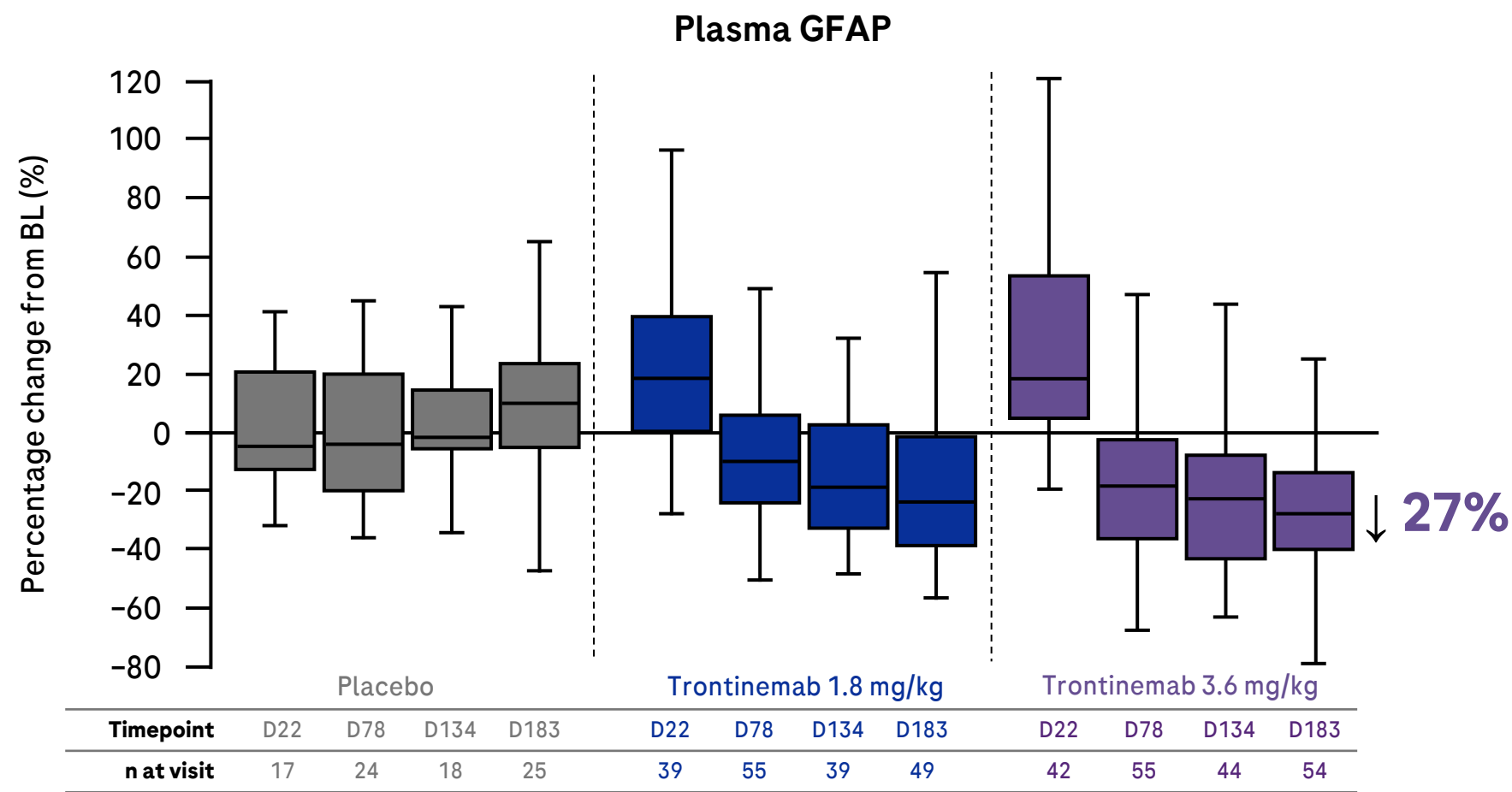
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Cut-off date: 21 March 2025. Plasma samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. Median baseline values for pTau181 (pg/mL): placebo 1.34, 1.8 mg/kg 1.48, 3.6 mg/kg 1.51; pTau217 (pg/mL): placebo 0.64, 1.8 mg/kg 0.81, 3.6 mg/kg 0.78. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted.

BL, baseline; pTau, phosphorylated tau.

Plasma biomarker results (Part 1 + 2 combined)

GFAP reduction after initial transient increase may be related to glial activation during amyloid removal



Findings are exploratory and no statistical analysis has been performed.

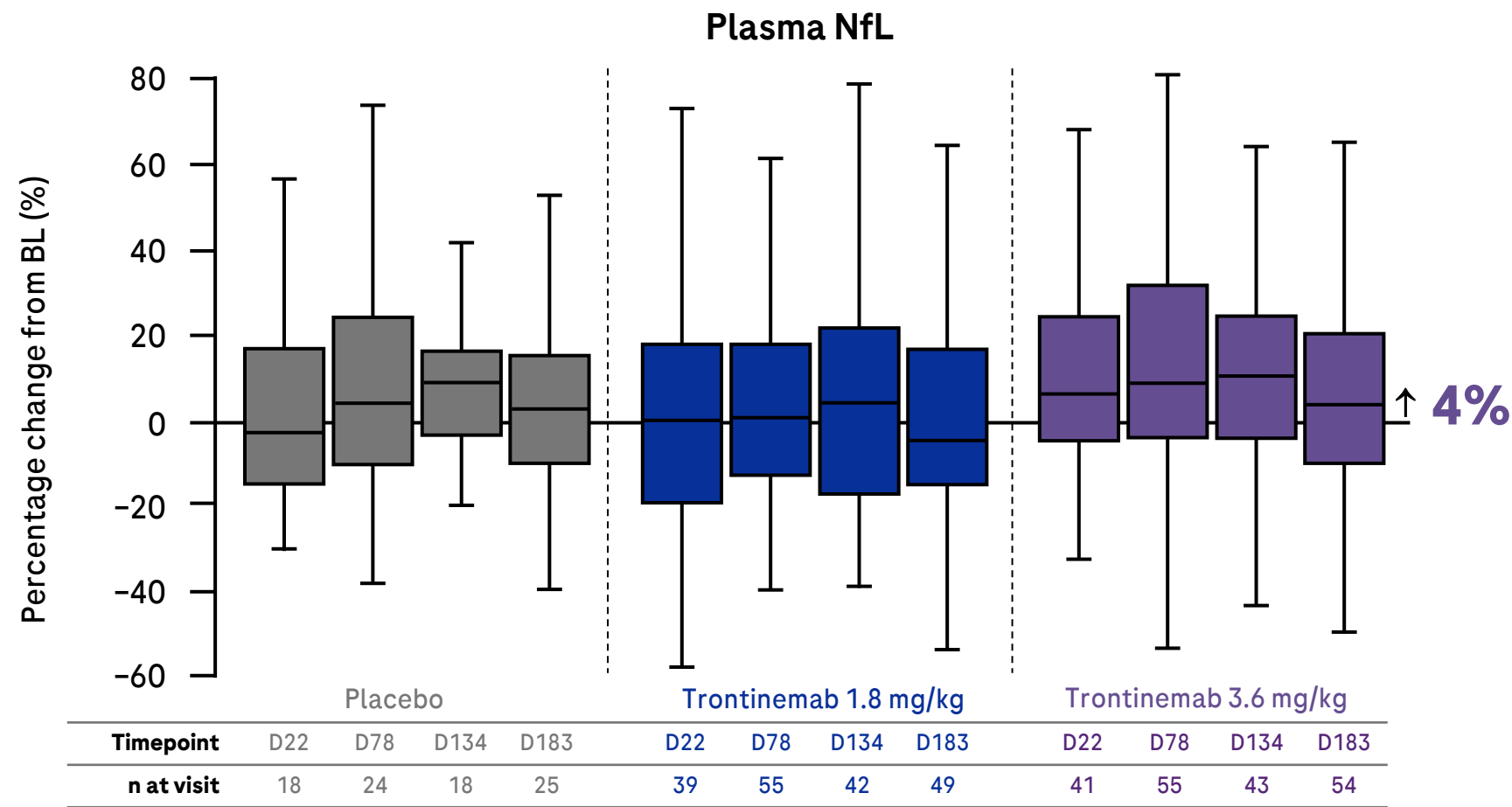
Trontinemab is an investigational product not approved for use in any market.

Cut-off date: 21 March 2025. Plasma samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. Median baseline values for plasma GFAP (ng/mL): placebo 0.15, 1.8 mg/kg 0.17, 3.6 mg/kg 0.18. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted.

BL, baseline; GFAP, glial fibrillary acidic protein.

Plasma biomarker results (Part 1 + 2 combined)

No change observed in plasma NfL



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Cut-off date: 21 March 2025. Plasma samples were measured by the Roche NeuroToolKit, a portfolio of robust prototype assays, running on the fully automated Elecsys platform (Roche Diagnostics). Annotated percentages show the median percent change from baseline for 3.6 mg/kg at the latest displayed timepoint. Median baseline values for plasma NfL (pg/mL): placebo 3.04, 1.8 mg/kg 3.60, 3.6 mg/kg 3.76. Outliers more than 1.5 times the interquartile range above the third quartile or below the first quartile are not plotted.
BL, baseline; NfL, neurofilament light chain.

Summary



Results demonstrate rapid and robust amyloid plaque depletion at 3.6 mg/kg trontinemab

- Substantial amyloid reduction in all participants on trontinemab
- Average reduction of -99 CL at 28 weeks



Regional amyloid analysis shows robust amyloid removal throughout the brain

- Rapid and robust removal of amyloid in all neocortical regions below the 24 CL positivity threshold
- Some regional differences with greatest amyloid removal in frontal, cingulate and parietal lobes



Effects on key amyloid and downstream fluid biomarkers of AD pathology

- Consistent with strong effect observed on amyloid PET
- In CSF, pronounced effects on amyloid and tau biomarkers
- In plasma, effects on amyloid, tau and GFAP towards reduced pathology



Overall results support continued development of trontinemab

- Plan to initiate Phase III later in 2025

